



3245  
#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Gary A. Zess, et al.

Docket No.: EH-10771

Serial No.: 10/065,484

Group Art Unit: 3745

Filed: October 23, 2002

Examiner: not yet assigned

Title: Flow Directing Device

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

RECEIVED  
DEC 10 2002  
TECHNOLOGY CENTER 3700

RECEIVED  
DEC 04 2002  
TECHNOLOGY CENTER R3700

**INFORMATION DISCLOSURE STATEMENT**

Transmitted herewith is an Information Disclosure Statement, Form PTO-1449, submitted in compliance with:

- ☒ 37 C.F.R. 1.97(b) - This information disclosure statement is filed within three months of the filing date of a national application (other than a CPA under 37 C.F.R. 1.53(d)), within three months of the date of entry of the national stage as set forth in 37 C.F.R. 1.491 in an international application, before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of an RCE under 37 C.F.R. 1.114, whichever event occurs last. No certification or fees are required.
- ☐ 37 C.F.R. 1.97(c) - This information disclosure statement is filed before the mailing date of a final action under 37 C.F.R. 1.113, a notice of allowance under 37 C.F.R. 1.311, or an action that otherwise closes prosecution in the application, whichever occurs first. Box (1) or (2) under **CERTIFICATION AND FEE** has been marked accordingly.

RECEIVED  
DEC 12 2002  
GROUP 3800

- [ ] 37 C.F.R. 1.97(d) - This information disclosure statement is filed after the period specified in 37 C.F.R. 1.97(c), but on or before payment of the issue fee. Box (1) and (2) under **CERTIFICATION AND FEE** have been marked accordingly.

**CERTIFICATION AND FEE**

- (1) [ ] 37 C.F.R. 1.97(e) Certification – Either:

[ ] Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

[ ] No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of the information disclosure statement.

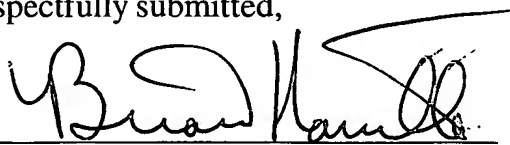
- (2) [ ] The \$180.00 fee in accordance with 37 C.F.R. 1.17(p) is due.

Please charge the required fee (if any) to Deposit Account No. 21-0279, Order No. EH-10771 (a duplicate copy hereof is enclosed).

RECEIVED  
DEC 12 2002  
GROUP 3600

BJH/as  
Pratt & Whitney, Patent Department  
400 Main Street, Mail Stop 132-13  
East Hartford, CT 06108  
(860) 557-1089  
Date: November 22, 2002

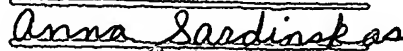
Respectfully submitted,

By 

Brian J. Hamilla  
Registration No. 38,482

I hereby certify that this correspondence is being furnished to the United States Patent Service at the time and in the manner required by the Commission of Patents and Trademarks, Washington, DC 20220, on or before

November 22, 2002

  
November 22, 2002

Date